



# Six-in-one peptide functionalized upconversion@polydopamine nanoparticle-based ratiometric fluorescence sensing platform for real-time evaluating anticancer efficacy through monitoring caspase-3 activity

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## ABSTRACT

Herein, a six-in-one peptide functionalized upconversion@polydopamine nanoparticle-based ratiometric fluorescence sensing platform (termed as UCNP@PDA@Cy3-pep) has been fabricated for monitoring the anticancer efficacy of photothermal-chemotherapy in real time through detection of caspase-3 activity. In this work, the upconversion nanoparticle (UCNP) core of UCNP@PDA was employed as an internal reference, while the PDA shell of UCNP@PDA was used as an acceptor of fluorescence resonance energy transfer (FRET) system, photothermal therapy (PTT) agent, loading agent of chemical drug and immobilization platform of Cy3 (donor of FRET) labeled peptide (Cy3-pep), which contains a specific caspase-3 substrate (DEVD) and active tumor-targeting motif (PSP). In the presence of caspase-3, the FRET system was broken through the enzymatic cleavage of DEVD, resulting in a recovery of Cy3 fluorescence emission. Under the optimal conditions, the ratio of recovered Cy3 fluorescence intensity with upconversion luminescent (UCL) intensity was linearly dependent on the caspase-3 concentration within the range of 0.5–50 ng mL<sup>-1</sup> and the limit of detection (LOD) was calculated as 0.065 ng mL<sup>-1</sup>. Using mouse-bearing MG-63 tumor as a model system, the capability of as-proposed UCNP@PDA@Cy3-pep has been successfully demonstrated through a real-time noninvasive evaluation of tumor response to PTT and chemotherapy of staurosporine (STS).

## 1. Introduction

Tumor response to therapy is a critical issue in daily cancer management. In particular, the capability to monitor noninvasively the anticancer efficacy of treatment in real time that can have a significant effect on the outcome of therapy. Apoptosis, a major form of programmed cell death, is crucial for regulation of physiological circumstances and maintaining homeostasis. The monitoring of apoptosis is of great significance in not only many cytobiology and clinical investigations but also the anticancer efficacy of apoptosis-related therapies [1–3]. Caspases are a family of cysteine-aspartic proteases playing a vital role in cell apoptosis, and their activities have been generally used as the key mediators of apoptosis. Among of the caspases, caspase-3 acts as a typical cellular biomarker in the irreversible process of cell apoptosis [4,5]. Hence, the cellular activity of caspase-3 is considered as

one of the most important factors to assess the anticancer efficacy of treatment [6–8]. A variety of fluorescence resonance energy transfer (FRET)-based assays have been reported for monitoring caspase-3 activity with high sensitivity in cancer cells [9–12]. However, most of these FRET-based assays are usually employed single functional probe only capable of caspase-3 activity detection, which may cause receiving signal delay due to the different distributions of detection probe and drug [13,14]. A smart sensing platform integrates antitumor therapy and caspase-3 activity detection, which enables to real-time evaluate the outcome of therapy activity in the tumor. Benefit from the rapid development of nanotechnology, nanoparticles/nanocomposites have been used to integrate molecular imaging and therapeutic capabilities into single systems (also known as theranostics) [15–17]. Because of their high tumor accumulation ability, active tumor-targeting nanoparticles can further improve the diagnosis and treatment effect of

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tumor [18,19]. Although it has been made great progress in development of theranostics, integration of multiple functionalities in one system for real-time monitoring noninvasively anticancer efficacy is still an enormous challenge in bioanalysis and nanomedicines.

Photothermal therapy (PTT) has been identified as a noninvasive method for tumor therapeutic due to its precise spatial-temporal selectivity using conductive particles and minimum side effects on paracarcinoma tissues [20,21]. Detailed researches of molecular mechanism confirmed that the photothermal treatments induce cell death by a programmed apoptosis through a mitochondria-mediated caspase-3 activation pathway [22–24]. In addition, it is demonstrated that the synergistic interaction between PTT and CT can improve significantly the outcome of antitumor. However, there are currently few sensing systems with the ability to real-time self-monitor the synergistic anticancer activity of PTT and chemotherapy *in vivo*.

The lanthanide-doped upconversion nanoparticles (UCNPs) have been widely used in biomedical imaging because their special photochemical properties, including robust photostability, minimal autofluorescence backgrounds and deep-tissue excitability under near-infrared (NIR) excitation, etc [25–30]. As a bioinspired polymer, polydopamine (PDA) has been demonstrated as not only an excellent PTT agent but also an efficient carrier for loading aromatic chemotherapy drugs because it exhibits good biocompatibility and biodegradability [31], strong NIR absorbance [32], and larger surface area with plenty of functional groups [33]. The PDA can also be used as an efficient acceptor of FRET system since it has strong fluorescence quenching capacity [34–36] combine the advantages of PDA and UCNPs, which have attracted wide interest for diverse applications in bioanalytical and biomedical fields [37,38].

Herein, we developed a six-in-one peptide-functionalized UCNP@PDA-based fluorescent turn-on ratiometric sensing platform (termed as UCNP@PDA@Cy3-pep) for *in situ* evaluating noninvasively therapeutic efficacy of PTT and chemotherapy through monitoring caspase-3 activity *in vitro* and *in vivo*. The six-in-one sensing platform allows the implementation of the following functions: (i) the UCNP core was employed as an internal reference for UCL imaging. (ii) The FRET occurred between the PDA shell and Cy3. (iii) With the specific recognition site DEVD in the peptide, the sensing platform can be used to detect caspase-3. (iv) The tumor-targeting ability was further improved by the PSP motif in the peptide besides the EPR effect of nanoparticles. (v) PDA was used as the photothermal therapy (PTT) agent. (vi) The loaded chemical drug (STS) was used as the chemotherapy agent. In the proof-of-principle, UCNP@PDA@Cy3-pep was used to study the tumor response to the PDA loaded STS (a clinical anticancer drug, see Fig. S1 in Supplementary information (SI) for molecular structure) under different conditions by using a mouse-bearing MG-63 tumor model.

## 2. Experimental section

### 2.1. Synthesis of Cy3-pep modified UCNP@PDA and STS loaded UCNP@PDA@Cy3-pep

The preparation of NaErF<sub>4</sub>: Tm<sup>3+</sup>@NaYbF<sub>4</sub>@NaYF<sub>4</sub>: Nd<sup>3+</sup> UCNPs (termed as Er@Yb@Y) and UCNP@PDA were prepared according to previously reported method [39,40]. For the preparation of UCNP@PDA@Cy3-pep, 0.04 mg Cy3 modified peptides (Cy3-pep, sequence, GGSDEVDRPSP-K(Ac)-C(Cy3)) in 3 mL Tris buffer (TB, 10 mmol L<sup>-1</sup>, pH 8.5) were reacted with different amounts of the as-prepared UCNP@PDA (0.1, 0.2, 0.5, 1 and 2 mg), respectively. After stirred vigorously in the dark at 25 °C for 12 h, UCNP@PDA@Cy3-pep were centrifuged repeatedly at 8000 rpm and redispersed in water. For loading STS, the UCNP@PDA@Cy3-pep (1 mg) were dispersed in 5 mL TB and mixed with 2.5 mg mL<sup>-1</sup> STS in dimethyl sulfoxide solution (DMSO). After stirred vigorously for 20 h, the final products were centrifuged repeatedly at 8000 rpm and dissolved in phosphate buffered saline (PBS, 1 mL, pH 7.4). The nanocomposites were named as

UCNP@PDA@Cy3-pep@STS. The loading weight of STS (*W*) onto the nanoparticles is calculated by deducting the STS in the supernatant from initial STS added according to the standard UV–vis curve of STS at the wavelength of 297 nm (i.e.,  $W = W_{\text{original STS}} - W_{\text{STS in supernatant}}$ ).

### 2.2. Sensing application of UCNP@PDA@Cy3-pep in buffer

For caspase-3 detection, UCNP@PDA@Cy3-pep (Yb content: 50 μg mL<sup>-1</sup>) were incubated with various concentrations of caspase-3 in 500 μL assay buffer (see SI for details) at 37 °C for 1 h. Subsequently, the fluorescence and UCL spectra of the mixture were measured at the excitation wavelengths of 530 nm and 980 nm continuous wave (CW) NIR laser, respectively. To investigate its selectivity, the UCNP@PDA@Cy3-pep were incubated with a series of enzymes and proteins including trypsin, lysozyme, thrombin, caspase-7, caspase-9, and caspase-3 inhibitor (Z-DEVD-FMK) under optimized conditions.

### 2.3. Detection of caspase-3 activity in MG-63 cells

The MG-63 cells ( $8 \times 10^3$  cells per well) were seeded into 96-well microtiter plate for 24 h. Afterward, the cells were treated with 50 μg mL<sup>-1</sup> UCNP@PDA@Cy3-pep or UCNP@PDA@Cy3-pep@STS in 100 μL fresh DMEM at 37 °C for another 3 h, and the untreated cells were used as control sample. Then, the cells were irradiated under different laser densities (1.5 and 1.8 W cm<sup>-2</sup>) for 6 min, and the temperature changes of MG-63 cells were recorded by an infrared thermal camera. The cells were further incubated in darkness at 37 °C for another 4 h and then washed with 100 μL PBS. Finally, the cells were imaged by a reconstructive Nikon Ti-S fluorescent microscope with excitation wavelengths at 530 nm for Cy3 imaging and 980 nm for UCL imaging. For quantitative measurement, UCNP@PDA@Cy3-pep or UCNP@PDA@Cy3-pep@STS stained cells in 48-well plate were irradiated by 808 nm laser which is equipped with a 1 cm beam expander. Then, the cells were detached from the 48-well plate and then centrifuged at 1000 rpm for 5 min and redispersed in 500 μL PBS. In addition, the cells treated with 100 μM STS for 4 h were also detached from the 6-well plate and resuspended in 100 μL lysis buffer. Subsequently, the cell lysates containing different cell numbers were incubated with UCNP@PDA@Cy3-pep (Yb content: 50 μg mL<sup>-1</sup>) in 500 μL assay buffer at 37 °C for 1 h. Then, the fluorescence and UCL spectra of mixture were measured, respectively.

### 2.4. *In vivo* apoptosis imaging

All animal experiments were conformed to the guidelines of the Regional Ethics Committee for Animal Experiments established by Jilin University Institutional Animal Care and Use. Male BALB/c nude mice (4–6 weeks old) were selected for establishing a MG-63 tumor model and inoculated with MG-63 cells on the right flanks of mice. As the tumor volume reached about 60 mm<sup>3</sup>, the mice were divided into six treatment groups: (i) UCNP@PDA@Cy3-pep, (ii) UCNP@PDA@Cy3-pep@STS, (iii) UCNP@PDA@Cy3-pep + laser (1.5 W cm<sup>-2</sup>), (iv) UCNP@PDA@Cy3-pep + laser (1.8 W cm<sup>-2</sup>), (v) UCNP@PDA@Cy3-pep@STS + laser (1.5 W cm<sup>-2</sup>), (vi) UCNP@PDA@Cy3-pep@STS + laser (1.8 W cm<sup>-2</sup>). Then, 1.5 mg mL<sup>-1</sup> UCNP@PDA@Cy3-pep or UCNP@PDA@Cy3-pep@STS (Yb<sup>3+</sup> content, 150 μL 0.9 wt% NaCl) were injected intravenously into tumor-bearing mice. The mice were irradiated with an 808 nm laser at 6 h post-injection and the temperature increases at tumor sites were recorded. Subsequently, the *in vivo* fluorescence imaging was collected by the Davinch Invivo HR imaging system at predetermined time intervals. Meanwhile, the *in vivo* UCL imaging was also performed at the indicated time points of post-irradiation on a home-made *in vivo* imaging system equipped with a 980 nm laser (2.5 W cm<sup>-2</sup>).

### 3. Results and discussion

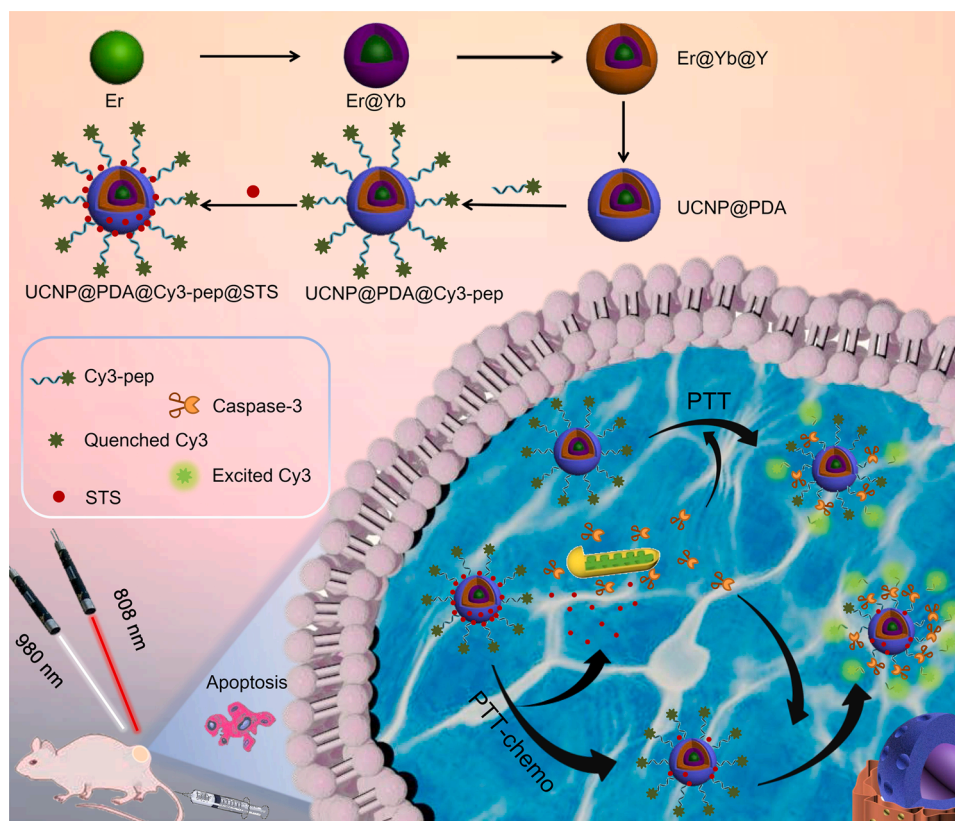
#### 3.1. Construction and characterization of the UCNP@PDA@Cy3-pep

The as-proposed FRET ratiometric sensing platform (illustrated in Scheme 1) was using Cy3 as the energy donor, PDA as the energy acceptor and UCNP as the internal reference. In the absence of caspase-3, the UCNP@PDA@Cy3-pep shows weak fluorescence intensity due to the efficient quenching effect of PDA. In the presence of caspase-3, the FRET system is broken through the cleavage of specific substrate (DEVD), resulting in a recovery of Cy3 fluorescence emission. The recovery of Cy3 fluorescence is dependent on the activity of caspase-3, i.e., the higher activity level of caspase-3 leads to stronger recovery of high fluorescence emission of Cy3. Because there are individual differences in cells and other organisms, the cellular internalization amount and tumor accumulation amount of UCNP@PDA@Cy3-pep exhibit slightly variation between different individuals. For improving detection accuracy of caspase-3 activity, the ratio of recovered Cy3 fluorescence intensity with UCL intensity ( $F_R = \Delta F_{Cy3}/I_{UCL654}$ . Herein,  $\Delta F = F_{Cy3} - F_{0Cy3}$ ,  $F_{0Cy3}$  and  $F_{Cy3}$  represent the maximum fluorescence intensities of UCNP@PDA@Cy3-pep solutions before and after cleaved by caspase-3, respectively) was used to determine the caspase-3 activity. The detection strategy can eliminate the effect of UCNP@PDA@Cy3-pep amount on the accuracy of detection result.

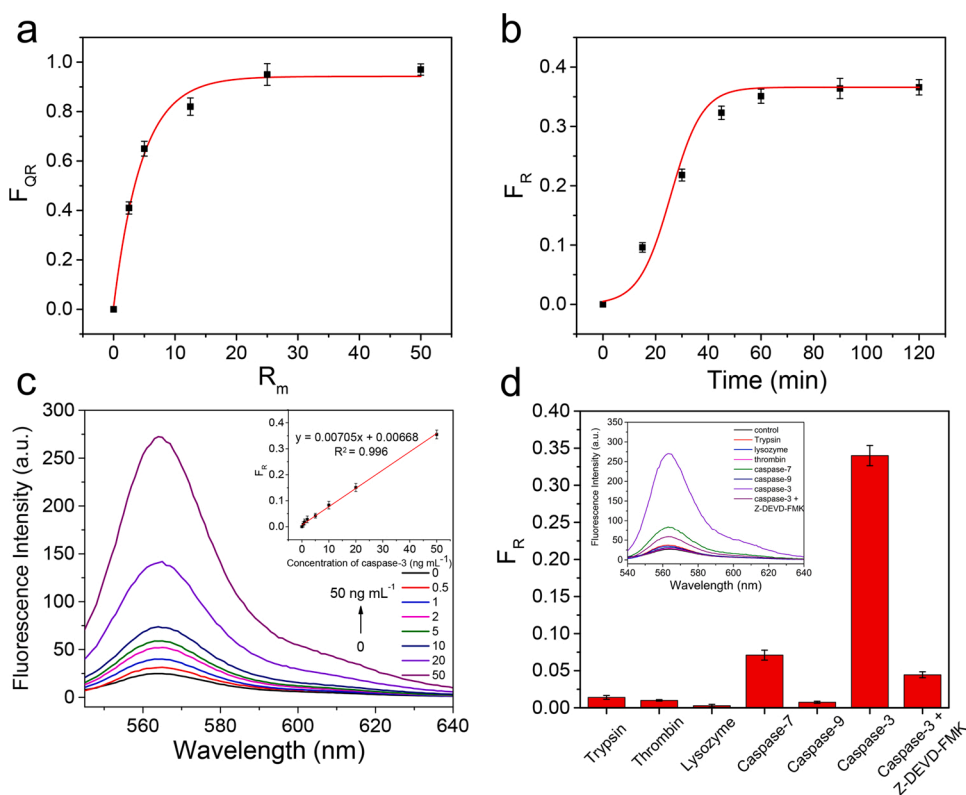
The as-prepared UCNPs have bright single-band red emission under 980 nm NIR laser excitation (Fig. S2). The as-synthesized Er (core), Er@Yb (core@shell) and Er@Yb@Y (core@shell@shell) UCNPs have uniform size with mean sizes of  $21.2 \pm 1.9$ ,  $26.6 \pm 1.7$  and  $33.3 \pm 2.1$  nm in diameters, respectively (as shown in Fig. S3). After coated by PDA shell with the thickness of 8 nm, the average size of UCNP@PDA reaches  $49.8 \pm 2.5$  nm in diameters. The XRD patterns of three kinds of UCNPs are all indexed exactly to pure hexagonal phase of  $\beta$ -NaErF<sub>4</sub> (JCPDS NO. 27-0689), as shown in Fig. S4). XPS measurement results in Fig. S5

clearly show the presence of the element of Yb in Er@Yb and the element of Nd in Er@Yb@Nd, which indicates the successful synthesis of the core@shell@shell nanoparticles.

The as-prepared UCNP@PDA were further modified by Cy3-pep, which contains a specific cleavable site (DEVD) against caspase-3 and active tumor-targeting site (PSP) [41,42]. As shown in Fig. S6, after the conjugation of Cy3-pep with UCNP@PDA, the maximum absorption peak of Cy3 is red-shifted from 544 nm to 556 nm, indicating the strong interaction between Cy3 and PDA. In addition, the UCNP@PDA@Cy3-pep shows obvious absorption at 808 nm, suggesting the great potential of UCNP@PDA@Cy3-pep for photothermal treatment. XPS measurement result in Fig. S7 clearly shows the presence of element Cl in UCNP@PDA@Cy3-pep, which confirms the successful surface modification of Cy3-pep. As shown in Fig. 1a, the quenching ratio of Cy3 ( $F_{QR} = \Delta F_1/F_{added(Cy3)}$ . Herein,  $\Delta F_1 = F_{added(Cy3)} - F_{supernatant}$ ,  $F_{added(Cy3)}$  means the maximum fluorescence intensity of added Cy3-pep solution and  $F_{supernatant}$  means the maximum fluorescence intensity of supernatant after conjugation of Cy3-pep with PDA) is increased with increasing the mass ratio of UCNP@PDA to Cy3-pep ( $R_m$ ) and reaches c.a. 0.95 when the  $R_m$  is more than 25. Therefore, all of the following used UCNP@PDA@Cy3-pep were synthesized at  $R_m$  of 25. The TGA analysis indicates the weight loss of UCNP@PDA@Cy3-pep is 7.2% more than that of UCNP@PDA, demonstrating the successful surface coating of Cy3-pep (Fig. S8). The increasing hydrodynamic diameters (HDs) of UCNP@PDA@Cy3-pep also verify the successful surface modification of Cy3-pep (Table S2). After conjugation of Cy3-pep with UCNP@PDA, the fluorescence of Cy3 is significantly quenched (Fig. S9a), while the UCL intensity of UCNP shows negligible change (as shown in Fig. S2b). Furthermore, about 294 Cy3-peptide molecules were immobilized on one UCNP@PDA NP according to the standard calibration curve of Cy3-pep (Fig. S9). Upon 808 nm NIR laser irradiation, UCNP@PDA@Cy3-pep could effectively convert light energy to thermal energy with a monotonical increase of solution temperature in a



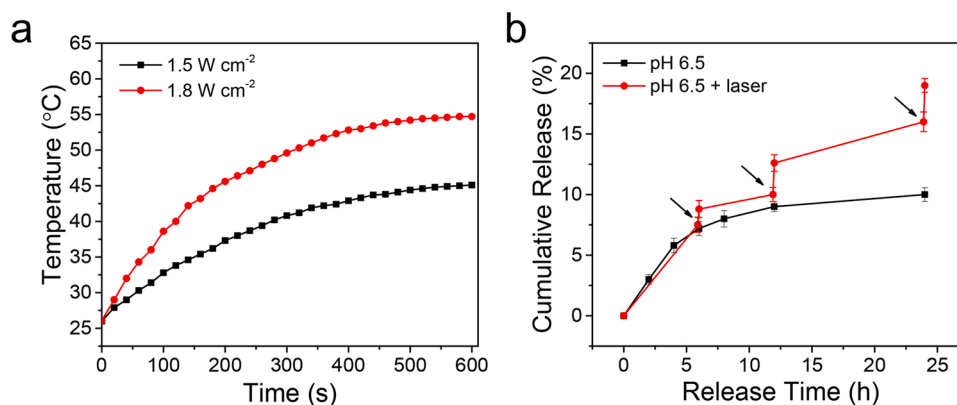
**Scheme 1.** The schematic illustration of UCNP@PDA@Cy3-pep-based FRET sensing platform for in situ activation and real-time monitoring caspase-3 activity.



**Fig. 1.** (a) The  $F_{QR}$  curves of Cy3-pep conjugates at different  $R_m$ . (b) The  $F_R$  of UCNP@PDA@Cy3-pep treated with 50 ng mL<sup>-1</sup> caspase-3 at various incubation times. (c) Fluorescence spectra of UCNP@PDA@Cy3-pep treated with various concentrations of caspase-3. The inset is the corresponding calibration curve of  $F_R$  versus caspase-3 concentration. (d) The selectivity of the UCNP@PDA@Cy3-pep. The inset is the corresponding fluorescence spectra. The error bars mean standard deviations ( $n = 3$ ).

concentration-dependent or laser density-dependent manner (as shown in Fig. S10). Among these laser densities, 1.5 and 1.8 W cm<sup>-2</sup> were selected for the follow experiments (Fig. 2a). The UCNP@PDA@Cy3-pep has reasonable photothermal conversion efficiency ( $\eta = 21.8\%$ ) and photothermal stability (as shown in Figs. S10c, d, S11, S12). Furthermore, there are negligible effects on the reaction efficiency of UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS with caspase-3 after irradiated by 808 nm laser for 10 min (as shown in Figs. S14, S15). The experimental results suggest that UCNP@PDA@Cy3-pep is not only an excellent sensing platform for detection of caspase-3, but also an ideal agent for PTT. In order to achieve photothermal-chemotherapy, a clinical chemotherapy drug, STS was further loaded on the UCNP@PDA surface. The STS loading efficiency of UCNP@PDA@Cy3-pep is determined to be about 0.93 mg STS/mg UCNP@PDA@Cy3-pep. The high loading capacity could be attributed to the strong intermolecular interactions (such as  $\pi$ - $\pi$  stacking

interaction and hydrogen bond) between STS and PDA. The characteristic peak of STS is red-shifted from 295 nm to 298 nm, indicating the successful loading of STS (Fig. S16). Due to the protonation of amino groups in the STS molecules, the Zeta potential of STS loaded UCNP@PDA@Cy3-pep (termed as UCNP@PDA@Cy3-pep@STS) is higher than that of UCNP@PDA@Cy3-pep (Table S1). Simultaneously, the increasing HDs of UCNP@PDA@Cy3-pep@STS further prove the successful loading of STS (as shown in Table S2). In addition, UCNP@PDA@Cy3-pep@STS has the same UCL and fluorescence properties as those of UCNP@PDA@Cy3-pep (as shown Figs. S2b and S17). The drug releasing behaviors of UCNP@PDA@Cy3-pep@STS were investigated under various solution with different pH values (5.0, 6.5 and 7.4). The STS release efficiency is increased by decreasing the pH value (Fig. S18a). Once the nanocomposites were irradiated with an 808 nm laser, a burst release of STS about 2.5% was observed from UCNP@PDA@Cy3-pep@STS at pH 6.5 (Fig. 2b), while 3.1% was



**Fig. 2.** (a) The solution temperature curves of UCNP@PDA@Cy3-pep aqueous solution (100  $\mu\text{g mL}^{-1}$ ) irradiated by an 808 nm laser with 1.5 and 1.8 W cm<sup>-2</sup>, respectively. (b) Release profiles of STS from UCNP@PDA@Cy3-pep@STS at pH 6.5 with or without 808 nm laser irradiation (1.8 W cm<sup>-2</sup>) at predetermined time points indicated by arrows.



observed from UCNP@PDA@Cy3-pep@STS at pH 5.0 (Fig. S18b). The pH-dependent and NIR-responsive release behaviors could be used to accelerate the intracellular drug release under certain circumstances.

### 3.2. Sensing performance of UCNP@PDA@Cy3-pep in buffer

The  $F_R$  is gradually increased as the reaction time prolonged and reaches saturation at approximately 60 min (Fig. 1b). The result suggests that UCNP@PDA@Cy3-pep has relatively rapid response time. The fluorescence intensity of Cy3 is proportionally increased with the increase of caspase-3 concentration (as shown in Fig. 1c). After incubation with caspase-3 for 60 min, the UCL spectra of UCNP@PDA@Cy3-pep show negligible change (Fig. S13). There is a good linear correlation between the  $F_R$  and caspase-3 concentrations within the range of 0.5–50  $\text{ng mL}^{-1}$  with the LOD of 0.065  $\text{ng mL}^{-1}$  ( $S/N = 3$ ), which is comparable to or better than those of reported assays [43–45]. In addition, the sensing capability of UCNP@PDA@Cy3-pep@STS were also examined through detecting the caspase-3 activity. The sensing performance of UCNP@PDA@Cy3-pep@STS (i.e., linear range 0.5–50  $\text{ng mL}^{-1}$ ) and LOD (0.073  $\text{ng mL}^{-1}$ ) is similar to that of UCNP@PDA@Cy3-pep, indicating that caspase-3 response of UCNP@PDA@Cy3-pep is not affected by STS loading (as shown in Fig. S17). To test its selectivity, UCNP@PDA@Cy3-pep was treated with other enzymes and proteins, including trypsin, lysozyme, thrombin, caspase-7, caspase-9, and caspase-3 plus inhibitor (Z-DEVD-FMK). UCNP@PDA@Cy3-pep displays the strongest response against the caspase-3 among these analytes (as shown in Fig. 1d). Although caspase-7 can also recognize and cleave the peptide containing DEVD site [46,47], the  $F_R$  of UCNP@PDA@Cy3-pep cleaved by caspase-3 is about 4-fold higher than that of UCNP@PDA@Cy3-pep cleaved by caspase-7. In the presence of Z-DEVD-FMK, it is noteworthy that the  $F_R$  is decreased significantly. The results demonstrate that UCNP@PDA@Cy3-pep has reasonable selectivity for detection of caspase-3.

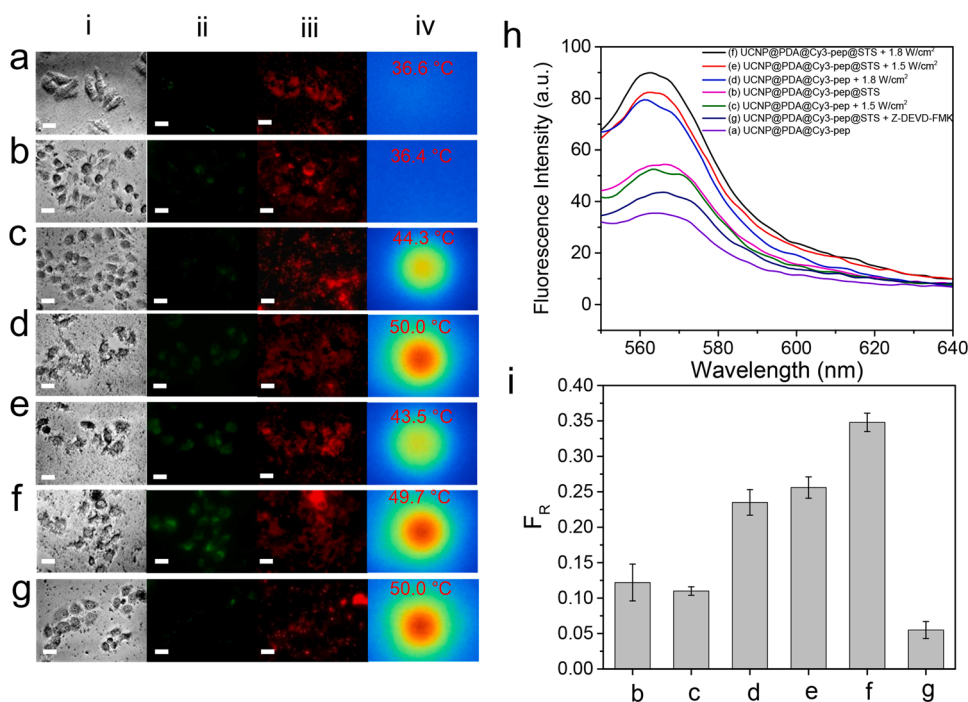
### 3.3. In vitro cytotoxicity evaluation

Prior to intracellular detection, the cytotoxicity of UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS were

examined under different conditions by MTT assay in MG-63 cells. As shown in Fig. S19a, the cell viability of UCNP@PDA@Cy3-pep stained MG-63 cells is still above 95 % when the concentration of the UCNP@PDA@Cy3-pep is up to 100  $\mu\text{g mL}^{-1}$  (Yb content). The UCNP@PDA@Cy3-pep@STS shows apparent cytotoxicity on MG-63 cells, which can be attributed to the STS release under acidic tumor microenvironment. After combined with an 808 nm laser, the cell viabilities of UCNP@PDA@Cy3-pep or UCNP@PDA@Cy3-pep@STS stained MG-63 cells are dropped dramatically, demonstrating a concentration-dependent relationship. Importantly, the cytotoxicity of UCNP@PDA@Cy3-pep@STS is much higher than that of UCNP@PDA@Cy3-pep under the same concentration due to the photothermal enhanced chemotherapeutic treatment outcome of STS at elevated temperatures. This result suggests that UCNP@PDA@Cy3-pep@STS has an outstanding synergistic antitumor effect, which is more efficacious in inducing a high number of apoptotic cancer cells. In addition, the cell viabilities of free STS under various concentrations are shown in Fig. S19b, indicating the prominent anticancer efficiency of STS.

### 3.4. Intracellular caspase-3 activity detection

For demonstrating their sensing capabilities, the UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS were employed for evaluating response of MG-63 cells to different treatments by real-time monitoring caspase-3 activity. The UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS stained MG-63 cells both exhibit strong red UCL emission (as shown in Fig. 3a–g), indicating the efficient cell uptake of them. As expected, the Cy3 fluorescence signal of cells was strongly dependent on the treatments. As shown in Fig. 3i, the  $F_R$  of cells follow the order, UCNP@PDA@Cy3-pep@STS plus 1.8  $\text{W cm}^{-2}$  808 nm laser > UCNP@PDA@Cy3-pep@STS plus 1.5  $\text{W cm}^{-2}$  808 nm laser > UCNP@PDA@Cy3-pep plus 1.8  $\text{W cm}^{-2}$  808 nm laser > UCNP@PDA@Cy3-pep@STS > UCNP@PDA@Cy3-pep plus 1.5  $\text{W cm}^{-2}$  808 nm laser. The result is in accordance with the result of cytotoxicity experiment. The Cy3 fluorescence signal of UCNP@PDA@Cy3-pep@STS stained cells is higher than that of UCNP@PDA@Cy3-pep stained cells because the apoptotic machinery of tumor cells can be activated by STS



**Fig. 3.** Fluorescence/UCL imaging of UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS stained MG-63 cells with various treatments. (a) UCNP@PDA@Cy3-pep. (b) UCNP@PDA@Cy3-pep@STS. (c) UCNP@PDA@Cy3-pep plus 808 nm laser (1.5  $\text{W cm}^{-2}$ ). (d) UCNP@PDA@Cy3-pep plus 808 nm laser (1.8  $\text{W cm}^{-2}$ ). (e) UCNP@PDA@Cy3-pep@STS plus 808 nm laser (1.5  $\text{W cm}^{-2}$ ). (f) UCNP@PDA@Cy3-pep@STS plus 808 nm laser (1.8  $\text{W cm}^{-2}$ ). (g) UCNP@PDA@Cy3-pep@STS plus 808 nm laser (1.8  $\text{W cm}^{-2}$ ) in the presence of 50  $\mu\text{mol L}^{-1}$  Z-DEVD-FMK before irradiation. Images are obtained from (i) bright-field mode, (ii) Cy3 channel, (iii) UCL channel, (iv) Infrared thermal images of cells with various treatments, respectively. Scale bar: 20  $\mu\text{m}$ . (h) Fluorescence emission spectra of UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS stained MG-63 cells under various treatments. (i) Corresponding  $F_R$  of MG-63 cells under various treatments.

[48]. After an 808 nm laser irradiation, the Cy3 fluorescence signals of UCNP@PDA@Cy3-pep/UCNP@PDA@Cy3-pep@STS stained cells exhibited a laser intensity (i.e. temperature-dependent manner)-dependent enhancement, suggesting that the apoptosis rate of tumor cells is increased by increasing the environmental temperature. Under the same laser irradiation conditions, the  $F_R$  of UCNP@PDA@Cy3-pep@STS treated cells is higher than that of UCNP@PDA@Cy3-pep treated cells, demonstrating the occurrence of synergistic effect of photothermal-chemotherapy on cell apoptosis. The Cy3 fluorescence of UCNP@PDA@Cy3-pep@STS is decreased dramatically by the Z-DEVD-FMK, implying that the recovery of Cy3 is owing to breaking FRET system through the enzymatic cleavage by caspase-3 (as shown in Fig. 3g). Furthermore, no fluorescence signals are observed in control groups (as shown in Fig. S20).

As shown in Fig. 4, the fluorescence intensity is gradually increased with the increase of MG-63 cell numbers treated by 100  $\mu$ M STS. And the  $F_R$  value of UCNP@PDA@Cy3-pep is linear with the cell numbers within the range of  $1 \times 10^3$  to  $5 \times 10^4$  cells with a LOD of 448 cells. The corresponding UCL intensities of UCNP@PDA@Cy3-pep with various numbers of MG-63 cells at 654 nm are shown in Fig. S22. All of the experimental results suggest that UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS could be used to evaluate the therapeutic effect through in situ activation and real-time monitoring of caspase-3 activity level in cancer cells.

### 3.5. In vivo caspase-3 activity detection

A mouse-bearing xenograft MG-63 tumor was used as model for demonstrating the in vivo practicability of UCNP@PDA@Cy3-pep. Prior to in vivo monitoring photothermal-chemotherapy in real time, the toxicity of the UCNP@PDA@Cy3-pep was evaluated by histology analysis and blood test. As shown in Fig. S23, the histology analysis demonstrate that there is neither noticeable tissue damage nor inflammation of UCNP@PDA@Cy3-pep on major organs. There is little difference in blood examination between control mouse and UCNP@PDA@Cy3-pep-treated mouse (Table S3). In addition, no hemolytic phenomenon is observed (Fig. S24). These results indicate that UCNP@PDA@Cy3-pep has good biocompatibility. MG-63 tumor-bearing mice were injected with UCNP@PDA@Cy3-pep and UCNP@PDA@Cy3-pep@STS through tail veins and imaged at different time points, respectively. The maximum UCL intensities of tumor sites were observed at 6 h post-injection (as shown in Fig. S25), indicating the

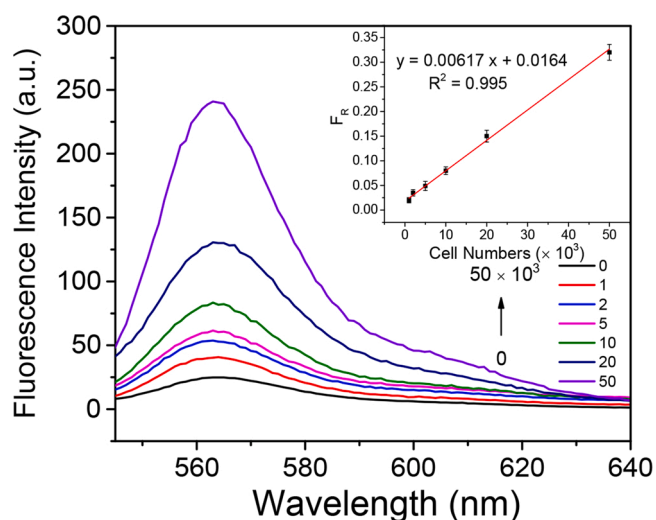


Fig. 4. The fluorescence spectra of UCNP@PDA@Cy3-pep treated with various amounts of MG-63 cells which were treated by 100  $\mu$ M STS for 4 h. The inset is the corresponding calibration curve of  $F_R$  versus cell numbers.

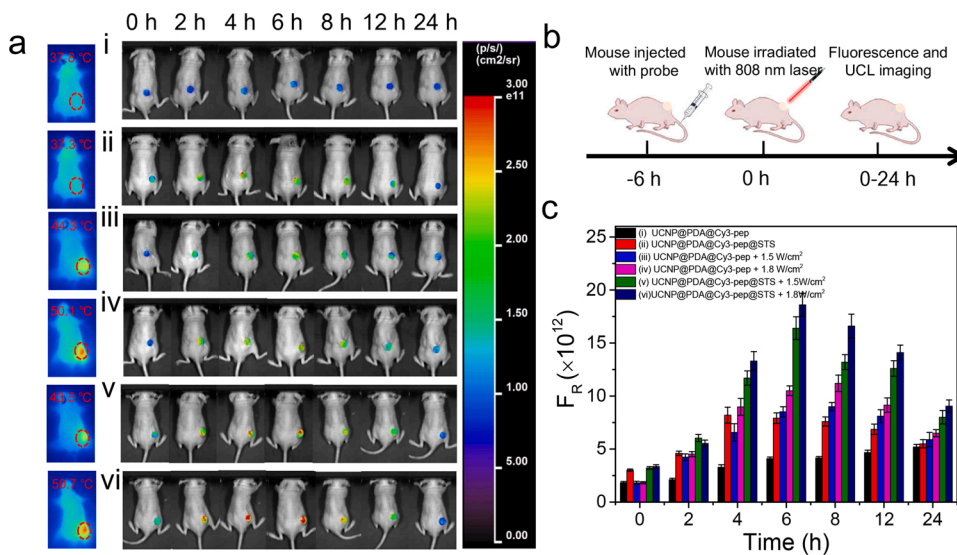
maximum accumulation of nanoparticles in tumor sites. Therefore, the PTT was carried out at 6 h post-injection. Due to the enhanced permeability and retention (EPR) effect and high binding affinity of PSP with tumor cells, UCNP@PDA@Cy3-pep exhibits relatively high accumulation amount (14 %ID  $g^{-1}$ ) in tumor (as shown in Fig. S26), suggesting that UCNP@PDA@Cy3-pep has high tumor-targeting capacity. This phenomenon helps to improve the detection performance in vivo. The Cy3 fluorescence intensities and  $F_R$  of tumor sites are varied with different treatments (as shown in Fig. 5). For instance, no fluorescence signals were observed in control groups (as shown in Fig. S27). Under the same experimental conditions, the  $F_R$  of tumor sites in UCNP@PDA@Cy3-pep@STS treated mice (group (v) and (vi)) are higher than those of UCNP@PDA@Cy3-pep treated mice (group (iii) and (iv)). The tumor sites of UCNP@PDA@Cy3-pep treated group (i) exhibit weak Cy3 fluorescence signal, indicating that UCNP@PDA@Cy3-pep has low nonspecific interaction with tumor microenvironment and extremely poor toxicity to cancer cells. The Cy3 fluorescence signals of tumor sites in UCNP@PDA@Cy3-pep@STS treated group (ii) are gradually increased over time, and exhibit 90 % increase in  $F_R$  compared with UCNP@PDA@Cy3-pep treated group (i) at 4 h. The results confirm that STS were efficiently released from UCNP@PDA@Cy3-pep@STS under acidic tumor microenvironment, leading to activate caspase-3 mediated apoptosis. After 808 nm laser irradiation, the enhanced Cy3 fluorescence signals of tumor sites are observed in both UCNP@PDA@Cy3-pep treated mice (group (iii) and (iv)) and UCNP@PDA@Cy3-pep@STS treated mice (group (v) and (vi)). Generally, Cy3 fluorescence signals exhibit the laser intensity-dependent enhancement, and have peaked at 6 h post-irradiation. For instance, the  $F_R$  of tumor sites in UCNP@PDA@Cy3-pep@STS plus 808 nm NIR laser treated mice are 4.1 times ( $1.5 \text{ W cm}^{-2}$ ) and 4.5 times ( $1.8 \text{ W cm}^{-2}$ ) higher than those of UCNP@PDA@Cy3-pep treated mice, respectively. In particular, the  $F_R$  of tumor sites in UCNP@PDA@Cy3-pep@STS treated mice (group (v)) with  $1.5 \text{ W cm}^{-2}$  808 nm laser irradiation are higher than those of UCNP@PDA@Cy3-pep treated mice (group (iv)) with  $1.8 \text{ W cm}^{-2}$  808 nm laser irradiation, indicating the more effective apoptosis induced by low-temperature ( $43.5 \text{ }^\circ\text{C}$ ) PTT-chemo synergistic therapy, which is favorable for minimizing tissue damage by hyperthermia. These experimental results demonstrate that the 808 nm laser irradiation can enhance the release of STS from UCNP@PDA@Cy3-pep@STS, and there is strong synergistic effect of the PTT of PDA and chemotherapy of STS.

## 4. Conclusion

In summary, a fluorescence turn-on ratiometric sensing platform has been developed for detection of PTT- and drug-triggered caspase-3 activity in real time through immobilization of caspase-3 cleavable Cy3 modified peptide and loading of anticancer drug on PDA coated UCNP. The results demonstrate that the as-proposed sensing platform has reasonably low LOD for caspase-3 activity detection combined with a large dynamic range. Due to building multiple functionalities in a single nanoparticle, the as-proposed sensing platform can effectively capture the temporal apoptosis process. All of the experimental results unequivocally confirm that the sensing platform can be served as an ideal tool for real-time noninvasive evaluation of tumor response to different treatment modes including PTT, chemotherapy and photothermal-chemo synergistic therapy.

### CRedit authorship contribution statement

Lin Liu: Conceptualization, Methodology, Software, Investigation, Validation, Data curation, Writing - original draft. Xiaotong Li: Data curation. Hua Zhang: Data curation, Writing - review & editing. Hongda Chen: Data curation. Murad M.A. Abualrejal: Software, Validation. Daqian Song: Supervision. Zhenxin Wang: Writing - review & editing.



**Fig. 5.** (a) In vivo fluorescence images of tumor-bearing mice under various treatments. (i) UCNP@PDA@Cy3-pep, (ii) UCNP@PDA@Cy3-pep@STS, (iii) UCNP@PDA@Cy3-pep plus 808 nm laser ( $1.5 \text{ W cm}^{-2}$ ), (iv) UCNP@PDA@Cy3-pep plus 808 nm laser ( $1.8 \text{ W cm}^{-2}$ ), (v) UCNP@PDA@Cy3-pep@STS plus 808 nm laser ( $1.5 \text{ W cm}^{-2}$ ), (vi) UCNP@PDA@Cy3-pep@STS plus 808 nm laser ( $1.8 \text{ W cm}^{-2}$ ). (b) The mice treatment process. (c) Quantification of  $F_R$  at the tumor sites with various treatments.

## Declaration of Competing Interest

The authors report no declarations of interest.

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## Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.snb.2021.129554>.

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